

**Application Form**  
**Client Investment profile Questionnaire**  
**NATURAL PERSONS**

**WARNING:** We will not be able to assess the suitability of any services or transactions, unless this questionnaire is fully completed.



<b>First Name</b>			
<b>Last Name</b>			
<b>Middle / Initials (if any)</b>			
<b>Current Full Permanent Address</b>			
<b>Correspondence address (including postal code)</b>			
<b>Telephone number</b>			
<b>Fax number (if any)</b>			
<b>Email</b>			
<b>Voice Password</b>			
<b>Education Level</b>		<input type="checkbox"/> No High School <input type="checkbox"/> High School <input type="checkbox"/> College Degree <input type="checkbox"/> University Degree	
<b>PASSPORT /IDENTIFICATION CARD DETAILS</b>	First name		
	Last name		
	Middle/Initials		
	Gender		
	Passport Number		
	Series		
	Date of Issue		
	Date of Expiry		
	Issuing Authority		
	Nationality		
Place of Birth			
Date of Birth			
<b>CUSTOMER EXPERIENCE WITH FINANCIAL INSTRUMENTS</b>	<input type="checkbox"/> Extensive (> 5Y) <input type="checkbox"/> Moderate (2Y-5Y) <input type="checkbox"/> Little (<2Y) <input type="checkbox"/> No experience		
	<b>Nature of financial instruments</b>	<b>Average value of transactions over the previous year (Euro)</b>	<b>Average frequency of transactions per quarter over the previous year</b>
	<input type="checkbox"/> Transferable securities		
	<input type="checkbox"/> Corporate bonds		
	<input type="checkbox"/> Government or municipal bonds		
	<input type="checkbox"/> Promissory notes		
	<input type="checkbox"/> Units in collective investment undertakings (UCTIS)		
	<input type="checkbox"/> Options, futures, swaps, FRAs relating to securities		
	<input type="checkbox"/> Options, futures, swaps, FRAs relating to commodities that must be settled in cash		
	<input type="checkbox"/> Options, futures, swaps, FRAs relating to commodities that can be physically settled and also they are traded		
	<input type="checkbox"/> Derivative instruments		
<input type="checkbox"/> Contracts for differences/Forex			



		<input type="checkbox"/>	Options, futures, swaps, FRAs relating to climatic variable, inflation rates etc		
		<input type="checkbox"/>	Repos		
		<input type="checkbox"/>	Other instrument:		
		<input type="checkbox"/>	Other instrument:		
		<input type="checkbox"/>	Other instrument:		
<b>INVESTMENT GOALS</b>	<b>Nature of transactions / Financial instruments</b>	<input type="checkbox"/>	Transferable securities futures, swaps, FRAs relating to that can be physically settled are traded	<input type="checkbox"/>	Options, commodities and also they
		<input type="checkbox"/>	Corporate bonds instruments	<input type="checkbox"/>	Derivative
		<input type="checkbox"/>	Government or municipal bonds		
		<input type="checkbox"/>	Promissory notes futures, swaps, FRAs relating to variable, inflation rates etc	<input type="checkbox"/>	Options, climatic
		<input type="checkbox"/>	UCTIS	<input type="checkbox"/>	Repos
		<input type="checkbox"/>	Options, futures, swaps, FRAs relating to instrument securities	<input type="checkbox"/>	Other
	<input type="checkbox"/>	Options, futures, swaps, FRAs relating to instrument commodities that must be settled in cash	<input type="checkbox"/>	Other	
	<b>Duration of investment</b>	<input type="checkbox"/>	Day trading	<input type="checkbox"/>	6 - 12 months
		<input type="checkbox"/>	1 – 3 years		
		<input type="checkbox"/>	3 – 5 years	<input type="checkbox"/>	5 – 10 years
		<input type="checkbox"/>	> 10 years		
	<b>Expected frequency of transactions per year</b>	<input type="checkbox"/>	1-5	<input type="checkbox"/>	5-10
		<input type="checkbox"/>	100+	<input type="checkbox"/>	10-50
				<input type="checkbox"/>	50-99
	<b>Level of investment risk</b>	<input type="checkbox"/>	High	<input type="checkbox"/>	Medium
		<input type="checkbox"/>	Low		
	<b>Investment objectives<sup>1</sup></b>	<input type="checkbox"/>	Capital Protection Speculation	<input type="checkbox"/>	Capital Growth
				<input type="checkbox"/>	



		<input type="checkbox"/> Income Generation Other (please specify)	<input type="checkbox"/> Income Generation + Capital Growth	<input type="checkbox"/>
	<b>Funds available for investments</b>	<b>EURO</b>		
<b>ANTICIPATED YEARLY ACCOUNT TURNOVER</b>		<input type="checkbox"/> ≤ 10,000	<input type="checkbox"/> ≤ 30,000	<input type="checkbox"/> ≤ 50,000
		<input type="checkbox"/> ≤ 1,000,000	<input type="checkbox"/> ≤ 100,000	<input type="checkbox"/> ≤ 500,000
		<input type="checkbox"/> ≥ 1,000,000		
<b>Source of Funds</b>				
<b>Expected origin of incoming funds to be credited in the account</b>				
<b>Expected destination of outgoing transfers</b>				
<b>Profession or Occupation</b>				
<b>Name of the Employer</b>				
<b>Address of the Employer</b>				
<b>Annual cross salary (euro)</b>				
<b>Other Income (please specify)</b>				
<b>Annual Expenses</b>				
<b>Number of Dependants</b>				
<b>Liabilities (loans etc)</b>				
<b>Persons on which behalf customer is acting (if applicable)</b>				
<b>Purpose and reason for opening the account</b>				
<b>Principal Assets of the Customer (Please describe)</b>	<b>Description</b>	<b>Value</b>		
	Rear Estates			
	Financial Instruments			
	Precious Metals			
	Bank Deposits			
	Other (Describe)			
	Other (Describe)			
	Other (Describe)			
	<b>TOTAL</b>			
<b>PERSONAL DECLARATION</b>	Do any of the Directors/shareholders/beneficial owners/authorised signatories/representatives hold or held in the last 12 months any prominent public functions (i.e. head of State, head of government, minister or deputy or assistant minister; member of parliament; member of supreme courts, of constitutional courts or of other high-level judicial bodies; member of courts of auditors or of the boards of central bank; ambassador; high-ranking officer in the armed forces; member of the administrative, management or supervisory bodies of State-owned enterprises)			
	<input type="checkbox"/> YES <input type="checkbox"/> NO			
		Is any of the immediate family members or close associate of the above persons is such person? (the spouse or the person with which he/she cohabit for at least one year, their children and their spouses or the persons with which cohabit for at least one year, their parents, any natural person who is known to have joint beneficial ownership of legal entities or legal arrangements, or any other close business relations, with a PEP, any natural person who has sole beneficial ownership of a legal entity or legal arrangement which is known to have been set up for the benefit de facto of a PEP)		



	<input type="checkbox"/> YES <input type="checkbox"/> NO
	Do the legal entity involved in the Electronic gambling /gaming activities through the internet?  <div style="text-align: center;"> <input type="checkbox"/> YES                      <input type="checkbox"/> NO         </div>
	Do the legal entity offer services (e.g. payment provider, software house, card acquirer) to persons involved in Electronic gambling /gaming activities through the internet?  <div style="text-align: center;"> <input type="checkbox"/> YES                      <input type="checkbox"/> NO         </div>
	Do the authorised representative work in the past 5 years in the financial services sector for more than 1 year?  <div style="text-align: center;"> <input type="checkbox"/> YES                      <input type="checkbox"/> NO         </div> If YES please indicate position and company name
	Do the authorised representative have any certificate/license issue by any regulatory body or Ministry? <div style="text-align: center;"> <input type="checkbox"/> YES                      <input type="checkbox"/> NO         </div> If YES please provide detail information
	Have any of the Directors/shareholders ever declared bankruptcy?  <div style="text-align: center;"> <input type="checkbox"/> YES                      <input type="checkbox"/> NO         </div>
<b>BANK ACCOUNT DETAILS</b>	BANK NAME: ..... BANK ADDRESS: ..... ACCOUNT HOLDER NAME: ..... JOIT ACCOUNT HOLDER NAME: ..... ACCOUNT NUMBER: ..... SWIFT CODE: ..... IBAN: .....
	I _____, <div style="text-align: center;"><i>Last, first, middle, name</i></div> DULY AUTHORIZED BY AND ACTING ON BEHALF OF _____, <div style="text-align: center;"><i>Name of the Customer</i></div> HEREBY CONFIRM THE ABOVE INFORMATION IS COMPLETE, TRUE AND ACCURATE TO THE BEST OF MY KNOWLEDGE AND BELIEF.



	_____ <i>Signature</i>	Date/ <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <i>Date      Month      Year</i>
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**Notes 1:**

- a) **Capital Protection:** Preserve capital while seeking growth at rate linked to a stockmarket Index. Recommended investment period is 3-5 years.
- b) **Capital Growth:** Maximize capital appreciation or the increase in value of a portfolio over the long term. Investments targeted for capital growth tend to have more risk than assets chosen for capital protection and income generation. Recommended investment period is over 5 years.
- c) **Income Generation:** Investment income earned from interest, dividends etc as opposed to that derived from increases in asset value. Risk is usually less than investments targeted for capital growth.
- d) **Income Generation + Capital Growth:** Combination of (b) and (c).
- e) **Speculation:** This type of investments does not promise safety of the initial investment along with the return on the principal amount. Speculators usually select investments with higher risk in order to profit from an anticipated price movement. Speculation involves a significant risk of the loss of the principal investment.

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Date in: .....	Checked by: .....
Date processed: .....	Processed by: .....
Account Number: .....	
Approved By:	
Back office / Date (dd/mm/yyyy)	Compliance Officer / Date (dd/mm/yyyy)
_____ Signature  _____ Date	_____ Signature  _____ Date